

## Customer Application Form

Please ensure that the form is completely filled and duly signed.

### 1. DETAILS

Name of Company / Individual: \_\_\_\_\_

Registered Address / Personal Address: \_\_\_\_\_  
\_\_\_\_\_

Business (mailing) Address: \_\_\_\_\_  
\_\_\_\_\_

Nationality: \_\_\_\_\_ Date of Birth: \_\_\_\_\_

Date of Incorporation: \_\_\_\_\_

Occupation: \_\_\_\_\_

### 2. CONTACT DETAILS

Telephone (office hours): \_\_\_\_\_

Telephone (after office hours): \_\_\_\_\_

Office Number: \_\_\_\_\_

Email Address: \_\_\_\_\_

### 3. SOURCE OF FUNDS

Source of funds being used to open the account:

Salary                      Savings                      Profits

Details if any: (eg. Industry, Sector, Activity)  
\_\_\_\_\_  
\_\_\_\_\_

Approximate Net Worth:

Up to \$50,000      \$50,000 to \$250,000      Over \$250,000

### 4. WHAT IS YOUR TRADING EXPERIENCE ?

In which of the following instruments has the company previously traded

Securities / Equities                      Commodities and Metals

Futures                                              Currencies

Interest Rates / Bonds                      Options and Warrants

Stock of publicly traded companies

If other, please specify: \_\_\_\_\_  
\_\_\_\_\_

Frequency of Trading      Daily      Weekly      Monthly

Knowledge and understanding:

None      Fair      Good      Excellent

Securities / Equities

Futures

Options

Currencies

How many years have you traded on above ?  
\_\_\_\_\_

Who was/were your broker(s) within the last 5 years?  
\_\_\_\_\_

Do you understand margin trading and the risks involved in margin trading ?

Yes      No

What are your trading objectives ?

To achieve speculative gains

For hedging purposes

For capital growth over the medium to long term

For income

Risk Tolerance      Low      Medium      High

Size of transactions? (please specify currency)  
\_\_\_\_\_

Frequency of transactions per month?  
\_\_\_\_\_

Investment Objectives (Pick at least one)

Growth                                              Income

Hedging                                              Speculation

### 5. Employment and Income

What is your employment Status: \_\_\_\_\_

What position do you hold: \_\_\_\_\_

Which Industry do you work in: \_\_\_\_\_

Your highest education level: \_\_\_\_\_

Approximate Annual Income:

Up to \$50,000      \$50,000 to \$250,000      Over \$250,000

**6. BANKING DETAILS**

Bankers Name: \_\_\_\_\_  
Bankers Address: \_\_\_\_\_  
Country: \_\_\_\_\_  
Full Account Name: \_\_\_\_\_  
Account Number: \_\_\_\_\_  
Sort Code: \_\_\_\_\_  
Swift Code \_\_\_\_\_  
IBA (US Based): \_\_\_\_\_  
IBAN: \_\_\_\_\_

**7. REFERRAL DETAILS**

How did you hear about us ?

Introducing Broker	Referral (word of mouth)
Existing Client	Google
Yahoo	MSN
LIFFE	Radio / TV

If other please specify: \_\_\_\_\_

**8. POLITICALLY EXPOSED PERSON DECLARATION**

Are you a politically exposed person ?                      Yes      No

If "Yes" please fill up appendix PEP form.

**9. POWER OF ATTORNEY**

I/we authorize \_\_\_\_\_ to accept any and all instructions, from the under mentioned person, and appoint the under mentioned person as my/our agent and attorney to buy and sell securities and any other investment transactions for my/our account and risks and in my/our name. I/we hereby agree to indemnify and hold you ("\_\_\_\_\_") harmless from and to pay you promptly on demand any and all losses, costs, indebtedness, and liabilities arising therefrom.

Name of Individual that you are Authorizing: \_\_\_\_\_

Address: \_\_\_\_\_

Identity Details (Passport Number, ID Card, Driving License Number – please specify Identifier type): \_\_\_\_\_

Telephone Number: \_\_\_\_\_

Email Address: \_\_\_\_\_

**10. DECLARATIONS**

Please ensure that the form is completely filled and duly signed.

I hereby represent and warrant that:

- (a) I have read and understood the Risk Warnings in the Terms of Business and confirm that I understand the nature and risks of equities, CFDs, spot, margin and forward forex, futures, options trading and other securities.
- (b) The information provided by me in this form is true and accurate and I will promptly notify you in writing, within 14 days, if any of the representations materially change or cease to be true and accurate.
- (c) I have read and understood and hereby confirm I am legally bound by the Terms of Business which this Form and the relevant Terms of Business together shall constitute one and the same instrument
- (d) I confirm that I am aware that all trades and investments carry the risk of total loss and that derivatives and leveraged instruments such as fx can result in total loss of funds as well as an additional liability in case of any deficit.
- (e) I confirm that I am aware that I remain responsible for all costs, debts, obligations and liabilities that arise from the actions and instructions of the agents and attorneys nominated above in (7).

I declare that the information provided is true and correct. By checking this box, I acknowledge that my electronic consent is legally equivalent to my signature:

Name: \_\_\_\_\_ Date: \_\_\_\_\_                      Name: \_\_\_\_\_ Date: \_\_\_\_\_

PLEASE GIVE THE SIGNED AND COMPLETED FORM, TOGETHER WITH THE DOCUMENTS LISTED BELOW, VIA EMAIL \_\_\_\_\_

**You will need to send this form together with a certified copy of the documents below:**

**CORPORATE:**

- Certificate of Incorporation
- Constitution or Memorandum and articles of Association
- Last audited financial accounts
- Register of shareholders
- Register of Directors
- Proof of Registered Address
- Proof of Trading Address
- Verification of TWO Directors (Passport / ID card / Driving Licence AND utility bill / bank statement etc for EACH)
- Signing and authorization mandate for Officers approved to act on the account (template available if required)
- ID for any such Officers (Passport & Utility Bill)
- ID for all Beneficiaries / Beneficial Owners (Passport & Utility Bill)
- Certificate of Good Standing

**INDIVIDUALS:**

- ID (Passport / Driving License)
- Utility Bill (< 3 months old)